

10/516703

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PTO/SB/08a/b (08-03)

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Substitute for form 1449A/B/PTO				<b>Complete If Known</b>	
				Application Number	Not Yet Assigned
				Filing Date	Concurrently Herewith
				First Named Inventor	Hisao SATO
				Art Unit	N/A
				Examiner Name	Not Yet Assigned
Sheet	1	of	1	Attorney Docket Number	08228/061001

<b>U.S. PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

<b>FOREIGN PATENT DOCUMENTS</b>					
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document Country Code <sup>3</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
TT	BA	JP-2001-7447-A	01-12-2001	Nichia Chemical Ind., Ltd.	T <sup>6</sup>
	BB	JP-2000-299532-A	10-24-2000	Nichia Chemical Ind. Ltd.	
	BC	JP-11-307866-A	11-05-1999	NEC Corp.	
	BD	JP-11-251684-A	09-17-1999	Nichia Chemical Ind. Ltd.	
	BE	JP-10-12610-A	05-15-1998	Richo Co. Ltd.	
	BF	JP-2002-141553-A	05-17-2002	Nichia Chemical Ind. Ltd.	
	BG	JP-2001-102678-A	04-13-2001	Toshiba Corp.	
TT	BH	JP-2000-216432-A	08-04-2000	Nichia Chemical Ind. Ltd.	

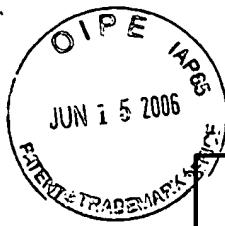
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<b>NON PATENT LITERATURE DOCUMENTS</b>					
Examiner Initials	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.			
TT	CA	esp@cenet document bibliography and Abstract for Japanese Publication No. 2001-007447, published January 12, 2001.			
	CB	esp@cenet document bibliography and Abstract for Japanese Publication No. 2000-299532, published October 24, 2000.			
	CC	esp@cenet document bibliography and Abstract for Japanese Publication No. 11-307866, published November 5, 1999.			
	CD	esp@cenet document bibliography and Abstract for Japanese Publication No. 11-251684, published September 17, 1999.			
	CE	esp@cenet document bibliography and Abstract for Japanese Publication No. 10-126010, published May 15, 1998.			
	CF	esp@cenet document bibliography and Abstract for Japanese Publication No. 2002-141553, published May 17, 2002.			
✓	CG	esp@cenet document bibliography and Abstract for Japanese Publication No. 2001-102678, published April 13, 2001.			
TT	CH	esp@cenet document bibliography and Abstract for Japanese Publication No. 2000-216432, published August 4, 2000.			

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner Signature 82580	<i>Tsao</i>	Date Considered	62/07
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Substitute for form 1449A/B/PTO

**Complete If Known**

Application Number	10/516,703-Conf. #6746		
Filing Date	December 2, 2004		
First Named Inventor	Hisao Sato		
Art Unit	2811		
Examiner Name	Not Yet Assigned		
Sheet	1	of	1
		Attorney Docket Number	08228/061001

**U.S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear

**FOREIGN PATENT DOCUMENTS**

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TT		JP-10-303458	11-13-1998	Toyoda Gosei KK		

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TT	CA	Office Action dated March 31, 2006 for Taiwan Patent Application Serial No. 092115038, with English translation (6 pages)	✓
TT	CB	English Abstract of Japanese Patent Laid-Open Publication No. 10-303458, dated November 13, 1998 (1 page)	

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

Examiner	<i>Hisao Sato</i>	Date	02/07
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Substitute for form 1449A/B/PTO				<i>Complete if Known</i>	
<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/516,703-Conf. #6746
Sheet	1	of	1	Filing Date	December 2, 2004
				First Named Inventor	Hisao Sato
				Art Unit	2826
				Examiner Name	T. N. Tran
				Attorney Docket Number	08228/061001

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Examiner Initials*	Cite No. <sup>1</sup>	Document Number Number-Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
TT	AA*	US-6,325,850-B1	12-04-2001	Beaumont et al.	Cited in European Search Report

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TT	BA	EP-1 128 446 A2	08-29-2001	Riken	Cited in European Search Report

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TT	CA	European Search Report issued on October 4, 2006 in the corresponding European patent application No. 03736016.1-2222, PCT/JP0307061, 3 pages			
TT	CB	Mary H. Crawford, et al. Design and Performance of Nitride-based UV LEDs, Vol. 3938, 2000, pages 13-23, XP008060093 (cited in European Search Report), 11 pages			

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<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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<b>INFORMATION DISCLOSURE STATEMENT BY APPLICANT</b> <i>(Use as many sheets as necessary)</i>				Application Number	10/516,703-Conf. #6746
Sheet	1	of	1	Filing Date	December 2, 2004
				First Named Inventor	Hisao Sato
				Art Unit	2826
				Examiner Name	T. N. Tran
				Attorney Docket Number	08228/061001

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TT	CA	Office Action issued by the European Patent Office on December 27, 2006 in European Application No. 03 736 016.1-1235, 8 pages			

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup>Applicant's unique citation designation number (optional). <sup>2</sup>Applicant is to place a check mark here if English language Translation is attached.

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